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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: UEMORI=9 In re Application of: Conf. No.: 6445 Tomonori UEMORI et al Art Unit: 3621 Appln. No.: 10/759,221 Examiner: C. Sherr Filed: January 20, 2004 Washington, D.C. For: LICENSE DISTRIBUTION February 6, 2006 MANAGEMENT SERVER...

INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner for Patents U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop AMENDMENT 401 Dulany Street Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted in accordance with 37 CFR §\$1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- [X] 1. This IDS should be considered, in accordance with 37 CFR \$1.97, as it is filed:
- [] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.

- [] B. before the mailing date of a first office action on the merits or before the mailing of a first Office action after the filing of a Request for Continued Examination under 37 CFR §1.114; or
- [X] C. after (A) and (B) above, but before final rejection or allowance, and Applicant has made the necessary certification (box "i" below) or paid the necessary fee (box "i" below):
 - [X] i. Counsel certifies that, upon information and belief, each item of information listed herein either was
 - [X] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- [X] 2. In accordance with 37 CFR \$1.98, this IDS includes a list (e.g., form BN/SB/08A/B) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. Other than U.S. patent(s) and/or published U.S. application(s), which 37 CFR \$1.98(a)(2)(ii) does not require to be filed unless specifically required by the Office, a copy of each document listed is attached.
- [X] 3. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20).
- [X] 4. Other information being provided for the examiner's consideration follows:

EUROPEAN SEARCH REPORT

5. In accordance with 37 CFR §§1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE | | Complete if Known | | | | |
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| | | ISC | LOSURE | Application Number | 10/759,221 | |
| | TEMENT BY | | | Filing Date | January 20, 2004 | |
| SIA | I E WIE WI DY | AP | PLICANI | First Named Inventor | Tomonori UEMORA et al | |
| | | | | Group Art Unit | 3621 | |
| | (use as many sheets | s <i>as</i> n | ecessary) | Examiner Name | C. SHERR | |
| Sheet | 1 | of | 1 | Attorney Docket Number | UEMORI=9 | |

| | | | U.S. PAT | U.S. PATENT DOCUMENTS | | |
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| NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION | | | | |
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| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T, | |
| | | GUTH, Susanne et al., "A Contract and Rights Management Framework Design for Interacting Brokers", Proceedings of the 36 th Hawaii International Conference on System Sciences, January 6-9, 2003, IEEE, Piscataway, NJ, pp. 283-292. | | |
| : | | | | |

| Examiner | Date |
|-----------|------------|
| Signature | Considered |

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.